

Practitioner's Docket No. 5325-0169.30

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lasic, Danilo D.; Abra, Robert M.; Barenholz, Yechezkel; and Peleg-Shulman, Tal

Application No.: 09/771,151

Group No.: 1615

Filed: January 26, 2001

Examiner: Unknown

For:

Liposomes Containing an Entrapped Compound in Supersaturated Solution

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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Katrina M. Ghafghaichi

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(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance-page 1

- (1) a final action under section 1.113 or
- (2) a notice of allowance under section 1.311

whichever occurs first.

STATEMENT

2. Accompanying this transmittal is a statement as specified in 37 C.F.R. section 1.97(e).

Paul B. Simboli

ALZA Corporation

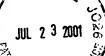
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

é application of: Lasic, Danilo D.; Abra, Robert M.; Barenholz, Yechezkel; and Peleg-Shulman, Tar

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Application No.: 09/771,151 Group No.: 1615 Filed: January 26, 2001 Examiner: Unknown

For: Liposomes Containing an Entrapped Compound in Supersaturated Solution

Assistant Commissioner for Patents Washington, D.C. 20231

STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. Section 1.97(e)

IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

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(Statement for Information Disclosure under 37 C.F.R. Section 1.97(e)--page 1 of 2)

Authority form 6-8

STATEMENT

2. I, the person signing below, state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(2).

IDENTIFICATION OF PERSON MAKING THIS STATEMENT

- 3. The person making this certification is:
 - (a) the practitioner who signs below on the basis of the information in the practitioner's file.

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